

SEC Large Trader Reporting System LTID Disclosure Form

“Large Trader” is defined as a person (legal entity or natural person) who, directly or indirectly, through the exercise of investment discretion, executes transactions in U.S. National Market System (NMS) securities that equal or exceed, in the aggregate:

- 2 million shares or \$20 million in fair market value during any calendar day; or
- 20 million shares or \$200 million in fair market value over any calendar month.

If you are a Large Trader and have been assigned an LTID, please provide it below and return this Form to your Financial Advisor.

Alternatively, you can mail the Form to **JPMS Middle Office at 277 Park Ave. 3rd Fl, New York, NY 10172** or email to: **PCS.Middle.Office@jpmorgan.com**.

If you have any questions or require additional information, please contact your J.P. Morgan Securities Financial Advisor.

Client Name: _____

Large Trader Identification Number: _____

Please list each J.P. Morgan Securities account number associated with the LTID(s) above. You may attach a separate sheet, if needed.

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| 1. _____ | 11. _____ |
| 2. _____ | 12. _____ |
| 3. _____ | 13. _____ |
| 4. _____ | 14. _____ |
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| 7. _____ | 17. _____ |
| 8. _____ | 18. _____ |
| 9. _____ | 19. _____ |
| 10. _____ | 20. _____ |

(x) _____
Authorized Signature Date

Bank products and services are offered through JPMorgan Chase Bank, N.A. and its affiliates. Securities are offered by J.P. Morgan Securities LLC, member FINRA, NYSE and SIPC.

Investment products: Not FDIC insured • No bank guarantee • May lose value